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Practice Limited to Intellectual Property Matters

September 11, 2007

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Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Re: U.S. Patent No. 6,951,898
Issued October 4, 2005
entitled *Cycloolefin Copolymer Resins
Having Improved Optical Properties*
Our Reference 9983 (TI-04-2)

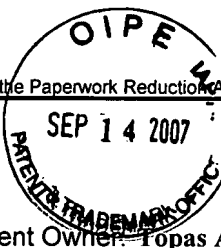
Sir:

Attached is a *Statement Under 37 CFR 3.73(b)* which establishes ownership of the above-noted patent application to Topas Advanced Polymers, Inc. Documentary evidence of the chain of title, i.e., all *Assignment* documents from the inventor up to the current *Assignee*, have been recorded at the USPTO. The person signing the submission is a person authorized to act on behalf of the *Assignee*, i.e., Topas Advanced Polymers, Inc.

Sincerely,

Michael W. Ferrell
Reg. No. 31,158
Attorney for Applicant

/crm
Attachment

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Topas Advanced Polymers, Inc.Application No./Patent No.: 6,951,898Filed/Issue Date: October 4, 2005Entitled: **Cycloolefin Copolymer Resins Having Improved Optical Properties**Topas Advanced Polymers, Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Douglas A. Hammond et al. To: Ticona LLC
The document was recorded in the United States Patent and Trademark Office at
Reel 013492, Frame 0668, or for which a copy thereof is attached.

2. From: Ticona LLC To: Topas Advanced Polymers GmbH
The document was recorded in the United States Patent and Trademark Office at
Reel 017619, Frame 0083, or for which a copy thereof is attached.

3. From: Topas Advanced Polymers GmbH To: Topas Advanced Polymers, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel 019744, Frame 0505, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Bernd Sparenberg

Printed or Typed Name

President

Title

August 28, 2007

Date

(859) 746-6447 Ext. 4401

Telephone number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)Applicant(s): **Douglas A. Hammond et al.**

Docket No.

9983 (TI-04-2)

Application No.

6,951,898

Filing Date

October 4, 2005

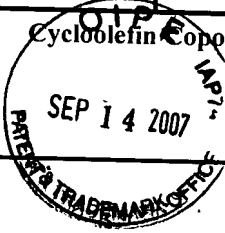
Examiner

Tae H. Yoon

Customer No.

40256

Group Art Unit

1714Invention: **Cycloolefin Copolymer Resins Having Improved Optical Properties**

I hereby certify that this Letter, Statement Under 37 CFR 3.73(b) and return postcard
(Identify type of correspondence)

is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on
September 12, 2007
(Date)

Carol R. Maddaloni

(Typed or Printed Name of Person Mailing Correspondence)

A handwritten signature in cursive script, appearing to read "Carol R. Maddaloni".
(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.